extorney's Docket No. 74451.P042X2

2621 PATENT #8

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n Re Patent Application of:

Edward L. Schwartz, et al.

Application No.: 09/704,991.

Filed: November 2, 2000 ·

For: REVERSIBLE EMBEDDED

WAVELET SYSTEM IMPLEMENTATION

Examiner: Unknown

Art Unit: 2621

Conf. No.: 7119

RECEIVED

JAN 2 8 2003

Technology Center 2600

Commissioner for Patents Washington, DC 20231

## INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

37 C.F.R. §1.97(b); OR

	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:					
	A statement pursuant to 37 C.F.R. §1.97(e) or					
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p); OR					
X	37 C.F.R. §1.97(d):					
<u>X</u>	STATEMENT UNDER 37 C.F.R. §1.97(e)_I hereby certify:					
	Information of the community of the comm	each item of information contained in the accompanying mation Disclosure Statement was cited in a nunication from a foreign patent office in a counterpart of application not more than three months prior to the of the Information Disclosure Statement, OR				
	Information community for eight attornation in formation was keep three	no item of information contained in the accompanying mation Disclosure Statement was cited in a nunication from a foreign patent office in a counterpart on application, and, to the knowledge of the undersigned ney after making reasonable inquiry, no item of mation contained in the Information Disclosure Statement known to any individual designated in §1.56(c) more than months prior to the filing the Information Disclosure ment.				
		eck for \$ <u>180.00</u> for the fee under 37 C.F.R. §1.17(p) abmission of the Information Disclosure Statement is used.				
If there	are any addition	al charges, please charge Deposit Account No. 02-				
2666.	т	Danna ak Caller and maikka J				
		Respectfully submitted,				
	H	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP				
Dated:		m				
12400 Wilshire		Michael J. Mallie, Reg. No. 36,591				
Seventh Floor						
Los Angeles, C (408) 720-8300		FIRST CLASS CERTIFICATE OF MAILING				
(400) 120-0000	,	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Commissioner for Ratents, Washington, DC 20231 on				
09/704,991		Date: /- 28 - Name Alice Tam				

						/			
Substitute for Form 1449A/PTO (Modified)				Complete if Known					
INFORMATION DISCLOSURE				Application Number 09/704,991		09/704,991			
				Filing Date		November 2, 2000			
OSTATEMENT BY APPLICANT					First Named Inventor:		Edward L. Schwartz		
င်ပြဲse as many sheets as necessary)					Group Art Unit 26		2621	2621	
JAN 2 7 2003 🔠					Examiner Name				
Sheet	165	1	of	1	Attorney Docket Number	er	74451.P042	X2	
TO TRADE	N. C.			U.S. PATEI	NT DOCUMENTS				
Exam.   Cite   U.S. Patent Document			Name of Pa	atentee or Applicant Date of		Publication of Pages, Columns, Lir			
Initial*	No. <sup>1</sup> Number Kind Code <sup>2</sup> (If known)		of Cite			Document DD-YYYY	Where Relevant Passages or Relevant Figures Appear		
								_	
	_								
					<u> </u>				
				-	<del>.</del>				
						DEC	EIVED		
						1100	0.2003		
						JAN	EIVED 2 8 2003		
						1alog	y Center 260	0	
					1	<del>CUUOIU</del> S	) Oom		
			<u> </u>		<del></del>				
		-						_	
<del> </del>									
			1			l			

Exam. Initial*	Cite	Fore	ign Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	No.'	Office <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup> (If known)		of Cited Document MM-DD-YYYY		
	Α	wo	93/10,634	Yale University	11-28-1991		
	В	WO	91/18,361	General Electric Company	05-27-1993		
	<del> </del>						<del> </del>
<del></del>	<del> </del> -						<del> </del>

Examiner	Date Considered	
Signature		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.